



2182

01263.002129 (1263.2129)

PATENT APPLICATION

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of:)
Alistair William MCLEAN) : Examiner: Unassigned
Appln. No.: 09/986,813) : Group Art Unit: 2182
Filed: November 13, 2001) :
For: USER INTERFACES) : October 24, 2002

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Technology Center 2100

Commissioner for Patents
Washington, D.C. 20231

THIRD INFORMATION DISCLOSURE STATEMENT

Sir:

In compliance with the duty of disclosure under 37 C.F.R. § 1.56 and in accordance with the practice under 37 C.F.R. §§ 1.97 and 1.98, the Examiner's attention is directed to the documents listed on the enclosed Form PTO-1449. Copies of the listed documents are also enclosed.

FEE STATEMENT

In accordance with 37 C.F.R. § 1.97(b), since a first Official Action on the merits of the subject application has not yet been issued, neither a statement under 37 C.F.R. § 1.97(e) nor payment of a fee is believed to be required for consideration of this Information Disclosure Statement. However, the Commissioner is hereby authorized to

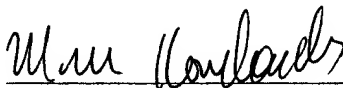
charge any fee which may be required in connection with this paper to Deposit Account No. 06-1205. A duplicate of this paper is enclosed for that purpose.

CONCLUSION

It is respectfully requested that the above information be considered by the Examiner and that the enclosed Form PTO-1449 be returned with the next official communication indicating that such information has been considered.

Applicant's undersigned attorney may be reached in our Washington, D.C. office by telephone at (202) 530-1010. All correspondence should continue to be directed to our address given below.

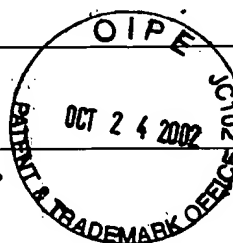
Respectfully submitted,



Attorney for Applicant
Michael E. Kondoudis
Registration No. 42,758

FITZPATRICK, CELLA, HARPER & SCINTO
30 Rockefeller Plaza
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MEK\cmv

FORM PTO 1449 (modified) U.S. DEPARTMENT OF COMMERCE PATENT AND TRADEMARK OFFICE LIST OF REFERENCES CITED BY APPLICANT(S) (Use several sheets if necessary) Submitted to the PTO: October 24, 2002		ATTY DOCKET NO. 01263.002129 (1269.2129) APPLICANT Alistair William MCLEAN FILING DATE November 13, 2001		APPLICATION NO. 09/986,813 GROUP 2182	
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U.S. PATENT DOCUMENTS							
*EXAMINER INITIAL	DOCUMENT NUMBER	Date	Name	CLASS	SUBCLASS	FILING DATE IF APPROPRIATE	
						<div style="font-size: 1.5em; font-weight: bold;">RECEIVED</div> <div style="font-size: 1.2em; font-weight: bold;">OCT 25 2002</div> <div style="font-size: 1.1em;">Technology Center 2100</div>	
FOREIGN PATENT DOCUMENTS							
	DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUBCLASS	TRANSLATION YES/NO/ OR ABSTRACT	
OTHER DOCUMENT(S) (Including Author, Title, Date, Pertinent Pages, Etc.)							
		"Intermediaries: An Approach to Manipulating Information Streams," Barret, et al., IBM Systems Journal, Vol. 38, No. 4 - Persuasive Computing, April 1999.					
		"Behavior Processors: Layers between End-Users and Java Virtual Machines," Repenning, et al., Proceedings of VL'97, Capri, Italy, September 23-26, 1997.					
		"Adaptive Color-Image Embeddings for Database Navigation," Rubner, et al., Proceedings of the 1998 IEEE Asian Conference on Computer Vision, Hong Kong, 1998.					
EXAMINER				DATE CONSIDERED			

*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

Sheet 1 of 1